

Switch/Transfer Form

Collective Investments (Unit Trusts): Botswana

CLIENT DETAILS

ENTITY NUMBER	
NAME & SURNAME / ENTITY NAME	
IDENTITY/PASSPORT/REGISTRATION NUMBER	
CELL PHONE NUMBER	

SWITCH/ TRANSFER OPTION

PLEASE SELECT THE APPLICABLE OPTION: SWITCH TRANSFER

SWITCH FROM:

Portfolio Name	Account number	Initial Fee	Amount
1.		%	P
2.		%	P
3.		%	P
TOTAL		%	P

SWITCH TO:

Portfolio Name	Account number	Amount
1.		P
2.		P
3.		P
TOTAL		P

TRANSFeree DETAILS

Please supply the following details of the person or institution to whom the participatory interests/ units will be transferred to.

NAME	
SELECTED PORTFOLIO NAME	
EXISTING CLIENT	<input type="checkbox"/> YES <input type="checkbox"/> NO (COMPLETE A NEW APPLICATION FORM)
INVESTMENT ACCOUNT NUMBER	

INCOME DISTRIBUTION

All distributions will be reinvested as per the investment selection unless otherwise instructed.

PAY INTO MY BANK ACCOUNT

 YES

REINVEST INTO A DIFFERENT EXISTING VUNANI PORTFOLIO

 YES

NAME OF SELECTED PORTFOLIO OR VUNANI ACCOUNT NUMBER

BANKING DETAILS

Use the bank details listed below for the following transactions:

 REDEMPTIONS INCOME DISTRIBUTIONS DEBIT ORDERS

Payments to third party bank accounts are not allowed. Payments can only be paid into an account in the name of the Client.

BANK

BRANCH

BRANCH CODE

ACCOUNT NUMBER

ACCOUNT TYPE

 CURRENT SAVINGS

ACCOUNT HOLDER'S NAME

SIGNATURE OF BANK ACCOUNT
HOLDER/ AUTHORISED SIGNATORY

SIGNATURE OF BANK ACCOUNT
HOLDER/ AUTHORISED SIGNATORY

FINANCIAL ADVISER

Please note: The term Financial Advisor refers to a broker.

1. The Client hereby confirms that he/she has appointed/hereby appoints the Financial Adviser (FA) for purposes of his/her dealings with Vunani.
2. The Client agrees that Vunani will pay the FA the charges as set out in this application form. Where the FA is a legal entity (e.g a company or a close corporation) the FA is represented herein by the natural person identified in the relevant block below.
3. Where the Client has terminated his FA's appointment, it is the Client's responsibility to advise Vunani of such termination immediately. On receipt of such written notification, Vunani will cease payment of all charges, other than accrued charges to the FA.
4. The Client understands that where he/she changes FA the consequences of such change may result in different or new fund and fees structures.

FINANCIAL ADVISER

VUNANI ID

NAME OF SOLE PROPRIETOR OR
NAME OF REPRESENTATIVE

TERMS AND CONDITIONS

1. Switching

- 1.1. Your switch is transacted at the price of the day on which Vunani Fund Managers (Pty) Ltd ("the Manager") receives a complete and correct instruction before 12h00. The price which will apply to an instruction received on a Saturday/Sunday or a public holiday, will be that of the following business day.
- 1.2. A valuation given by the Manager is at the previous trading day and is not a realisation value. All portfolios are valued on a daily basis at 17h00 except for certain Fund of Funds portfolios and Feeder Fund portfolios which are valued at 11h00
- 1.3. An existing debit order will remain in force unless otherwise specified.
- 1.4. Certificates issued in respect of participatory interests switched must be returned for cancellation.
- 1.5. If the participatory interests to be switched are subject to a pledge, written consent to the transaction/cancellation of the pledge by the pledgee must accompany this request.
- 1.6. In the case of a change of name/signature(s), a certified copy of the relative document with specimen signatures (new and previous) must accompany this request, unless previously recorded.
- 1.7. If this form is signed under Power of Attorney, a copy of such Power must be attached unless previously recorded.
- 1.8. In all cases where the registered owner is a trust, company or other institution, a copy of the Letter of Authority, Company Resolution or similar is required to support the request.
- 1.9. In accordance with the provisions of the Deed and terms and conditions of the Portfolios, I/we renounce all my/our rights, title and interest in and to such participatory interests, and

warrant that where this request is signed in a representative capacity, I/we have the necessary authority to do so and that the transaction is within my/our powers.

1.10. A "switch" involves selling participatory interests (units) in one portfolio and investing the proceeds in another portfolio. The Manager does not normally charge initial manager charges twice, other than in the instance where the original entry was into a portfolio with lower charges than the portfolio into which the Client will be investing. Accordingly, the Client will have to pay in the difference in the initial manager charges. However, the Client and the Financial adviser may agree on a different arrangement to that above mentioned, in which case an initial manager charge as agreed, between the Client and the Financial adviser, will be charged on the switch transaction. As costs may change from time to time, please consult with your authorised Financial adviser.

2. General

2.1. The terms and conditions signed and agreed to in the Investment Application form will remain in force and apply to this transaction. Refer to your Investment application form for the detailed terms and conditions. Alternatively you can request a copy of the terms and conditions from your Financial adviser or the Manager.

2.2. The Manager does not provide any guarantee either with respect to the capital or the return of a portfolio.

2.3. All personal information supplied to Vunani Fund Managers Proprietary Limited is treated with strict confidentiality. By signing this form, the client consents and authorises Vunani Fund Managers Proprietary Limited to process their personal information, including any sensitive personal data as defined by the Data Protection Act, which is collected and processed to enable us to provide the services, assistance and/or product solutions you may require, in compliance with the relevant laws including the Financial Intelligence Act. Personal Information shall only be processed for the purpose for which it is provided and may only be disclosed if so required to comply with legal and regulatory requirements, industry codes to which we subscribe or which apply to us, or when it is otherwise allowed by law.

DECLARATION

I/We agree to provide all documentation and information required and understand that Vunani is prohibited from processing any transaction on my/our behalf until all such documentation has been provided. I/We confirm that all information provided herein is true and correct and that I/we have read and understood the contents of this form.

SIGNATURE OF CLIENT/
AUTHORISED SIGNATORY

DATE

		-			-				
D	D		M	M		Y	Y	Y	Y

SIGNED AT

SIGNATURE OF CLIENT/
AUTHORISED SIGNATORY

SIGNATURE OF CLIENT/
AUTHORISED SIGNATORY

SIGNATURE OF FINANCIAL ADVISER
/ BUSINESS CONSULTANT